**PALADIN**
RISK MANAGEMENT SERVICES

Creating Risk Gladiators ™

Face-to-Face $4400

Live Stream $4000

Distance $3600

# 11143NAT–Advanced Diploma of Governance, Risk and Compliance

**Qualifications issued by:**

PROFESSIONAL **SECURITY & RISK** INSTITUTE

**RTO Number: 45173**

No Prerequisites Required to Attend the Advanced Diploma Course (Attendance at Certificate IV or Diploma not required to attend Advanced Diploma)

# Introducing the governance course you've been waiting for ……..

# Paladin Risk Management Services'

# 11143NAT – Advanced Diploma of Governance, Risk and Compliance

Sound governance. All organisations are required to have it but what does it look like and how is it achieved? There are so many definitions of governance and equally as many models, however, organisations still find it difficult to fully comprehend the entirety of their responsibilities.

That has now changed.

Paladin Risk Management Services provides a straightforward and practical approach that addresses Governance as a system of systems where the linkages between organisational programs holds the key.

Participants will gain knowledge and an understanding of: Governance structures (including Boards and Committees), organisational planning, policy frameworks, enterprise risk management, compliance management, internal audit, managing the internal control environment, performance management and organisational resilience.

This is the only Governance course you will ever need and will position you to make meaningful and sustainable change within your organisation.

## The Advanced Diploma of Governance, Risk and Compliance is made up of Nine Core Units:

| | |
|---|---|
| FNSACC634 | Monitor Corporate Governance Activities |
| BSBSTR602 | Develop Organisational Strategies |
| BSBLDR602 | Provide leadership across the Organisation |
| PSPGEN136 | Undertake Enterprise Risk Management |
| PSPGEN135 | Manage Risk |
| BSBAUD515 | Evaluate and review compliance |
| BSBAUD601 | Establish and manage compliance management systems |
| BSBSTR603 | Develop business continuity plans |
| BSBSTR803 | Establish business continuity management strategies |

**Paladin Risk Management Service's Diploma of Risk Management and Business Continuity is fully accredited by the Australian Skills Quality Authority (ASQA) under the Australian Qualifications Framework (AQF).**

## ABOUT THE PRESENTER

Rod Farrar is the principal of Paladin Risk Management Services and will be delivering your course.

Rod is an accomplished risk consultant with extensive experience in the delivery of professional consultancy services to Government, corporate and not-for-profit sectors.

Rod's Risk Management expertise is highly sought after as is the insight he provides in his risk management training and workshop facilitation. Rod was recognised by the Risk Management Institution of Australia as the **2016 Risk Consultant of the Year** and one of the first five **Certified Chief Risk Officers** in Australasia.

Rod has written three eBooks, including the highly acclaimed *Revolution de Risque – Challenging the Traditional way we Manage Risk*. He has also spoken at conferences both in Australia and abroad.

Rod is passionate about risk management and the benefits it can bring to an organisation and he brings this passion to his training.

# Read on and discover why this is the ONLY Governance course you will ever need

## DELIVERY OPTIONS

**There are three delivery options for the Advanced Diploma of Governance, Risk and Compliance:**

### FACE TO FACE/HYBRID

5 days in person training (Governance and Risk Management Modules) followed by live streaming of the Compliance Module (one day) and the Organisational Resilience Module (1 day)

### LIVE STREAMING

Live streaming in four blocks:

- 2 days Governance
- 3 days Risk Management
- 1-day Compliance
- 1-day Organisational Resilience

There will be approximately 2 weeks between each session.

### SELF-PACED DISTANCE

Video content provided in addition to training materials.

Participants have up to **24 months from commencing the course** to complete the assessment activities **– regardless of the delivery method**, at which point they will be awarded the Advanced Diploma.

## SUBJECT OVERVIEWS

**The nine core units of the Advanced Diploma of Governance, Risk and Compliance are delivered over four modules.**

## MODULE 1 - GOVERNANCE

The Governance Module introduces Governance, the role it plays in organisational performance, and highlights the various models that exist.

The module covers Boards and committees, the role they play in the Governance outcomes for organisations, and how they are supported by senior executives, management and staff.

The Governance module has a significant focus on planning, both at the Strategic and Business level and specifically addresses the role of planning in setting the foundations for programs such as risk management, compliance, performance management and audit.

The key component of the Governance Module is the demonstration of the linkages between all the key Governance functions and how, if there are deficiencies in one or more of these programs, Governance within the organisation is significantly impacted.

The Governance module sets the foundations for the more detailed coverage of enterprise risk management, compliance management and organisational resilience in later modules.

### Assessment Activities

The assessment activity for this session requires participants to:

- Conduct a leadership review;
- Conduct a review of the organisation's policy framework; and
- Develop a Strategic Plan for an organisation.

# MODULE 2 – RISK MANAGEMENT

This session covers development of a risk management Framework within an organisation (embedding risk management within organisational culture) to maximise the strategic outcomes for the organisation from enterprise risk management – a whole of organisation approach to risk management. This session includes topics such as:

- Elements of an effective risk management framework
- Gaining senior management mandate, commitment and leadership
- Developing the organisation's risk appetite and tolerance
- Developing the risk criteria for the organisation
- Integration of risk management into the strategic planning process
- Integration of risk management into other organisational programs such as compliance, internal audit and performance management
- Measuring risk management performance

Participants will be guided through the elements of an effective enterprise-wide risk management framework and will be provided with the insights necessary to successfully integrate the framework into their organisation.

In addition, participants will also be guided through a practical approach to the identification, assessment, and management of risks within an organisation.

## Assessment Activities

The assessment activity for this session requires participants to develop a:

- Risk Management Policy
- Risk Management Plan
- Strategic Risk Register
- Enterprise Risk Register

# MODULE 3 - COMPLIANCE

This module provides a comprehensive understanding of the development and maintenance of an effective compliance program within an organisation.

Organisations today have a significant number of compliance requirements. For many, the challenge first lies in identifying what they need to be compliant with and just how they maintain compliance.

The module covers the key elements of any compliance framework and how it links to other organisational programs such as risk management, performance management, audit and planning.

This comprehensive coverage of compliance provides all of the tools necessary for organisations to develop and implement an effective and sustainable compliance program.

## Assessment Activities

The assessment activities for this session is to develop a Compliance Policy and to assess three compliance requirements for the organisation.

- Compliance Health Check
- Assessment of three compliance obligations relevant to the organisation

## SESSION 4 – ORGANISATIONAL RESILIENCE

This session provides the skills and knowledge required to work within the business continuity framework to develop and implement business continuity plans in order for an organisation to manage risk and ensure business resilience when faced with a disruptive event.

The session guides participants through the Business Continuity Management process. It encapsulates the identification of Critical Business Functions, threat and vulnerability analysis, the development of continuity strategies and planned responses as well as the testing and maintenance of the Business Continuity Plan.

### Assessment Activities

The assessment activities for this module are:

- Develop a Business Impact Analysis and assess three critical business functions

- Develop a Crisis Management Plan

## AWARDS WON BY STUDENTS WHO HAVE COMPLETED PALADIN RISK MANAGEMENT SERVICES COURSES

- Risk Management Institute of Australasia **Risk Student of the Year**
  - 2015
  - 2016
  - 2017
  - 2018 (Joint Winners both Paladin Students
- Risk New Zealand - **Excellence in developing capability in Risk Management – 2017**
- Municipal Association of Victoria - **Risk Manager of the Year**
  - 2016
  - 2017

# Paladin Risk Management Services provides a MONEY BACK GUARANTEE

## WHAT YOU GET

The following is included for each course:

- All materials
- Free ongoing access to templates
- Free ongoing access to video content of the course
- Access to webinars
- Regular assessment webinars to answer questions on assessment
- 48-hour turn around on all questions submitted during the assessment period

In addition, Paladin Risk Management Services provides a Money Back Guarantee - that's right a Money Back Guarantee!!!!!!! If at the end of the first day of the course intensive period you do not believe this course is going to provide you with the skills you require, or you do not like the instructor - or for any other reason for that matter you can just leave your materials and you will be refunded your money - no questions asked.

When was the last time you heard of a training institution providing such a guarantee?

*Please note - the money back guarantee does not apply for the Distance Education version of the course.*

# FREQUENTLY ASKED QUESTIONS

**Will this Advanced Diploma of Risk Management be recognised Australia wide?**

The Advanced Diploma of Governance, Risk and Compliance will be awarded under the National Training Framework and will, therefore, be recognised Australia wide.

**How long will the training and assessment take me to complete?**

Assessment activities are to be completed within 24 months from the commencement of the course, regardless of the method of delivery.

**Can I get Recognition of Prior Learning (RPL) for other Risk Management courses I have previously undertaken?**

Provided you have undertaken studies that include the specific units of competency in the Advanced Diploma of Governance, Risk and Compliance, RPL can be awarded.

**What experience / education do I require to undertake this course?**

You need no specific education or experience to undertake this course – just a willingness and a desire to join the ranks of one of the fastest growing and most exciting professions I the world.

**Join the more than 3,000 Risk Gladiators currently fighting the risk lions in the Colosseum**